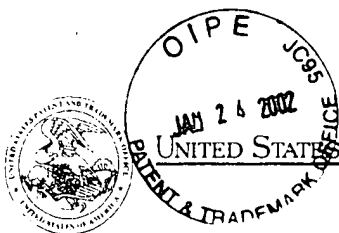


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Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING-RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/957,443	09/18/2001	Jason Zweiback	60901-P001CP1-10103155

CONFIRMATION NO. 2513

FORMALITIES LETTER



\*OC000000006927040\*

FULBRIGHT & JAWORSKI L.L.P.  
Michael A. Papalas  
Suite 2800  
2200 Ross Avenue  
Dallas, TX 75201

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Date Mailed: 10/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$1350.
  - \$1350 for 75 total claims over 20.
- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 2190.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

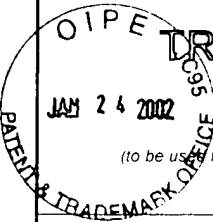
01/28/2002 JADD01 00000096 09957443  
370.00 OP  
675.00 OP  
65.00 OP

Please type a plus sign inside this box 

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

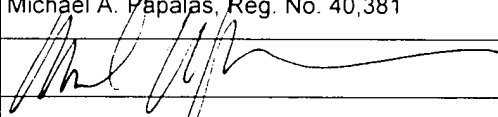
	Application Number	09/957,443
	Filing Date	September 18, 2001
	First Named Inventor	Jason Zweiback
	Group Art Unit	2874
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number 60901/P001CP1/10103155	

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) Statement Under 37 CFR 3.73(b), Declaration, Power of Attorney
Remarks		

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

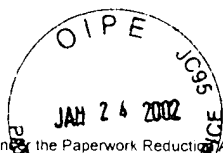
Firm or Individual Name	FULBRIGHT & JAWORSKI L.L.P. Michael A. Papalas, Reg. No. 40,381
Signature	
Date	December 10, 2001

**Transmittal**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: December 10, 2001

Signature:  (Joy H. Perigo)



Approved for use through 10/31/2002 OMB 0651-0032  
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<b>FREE TRANSMITTAL</b> for FY 2002 <small>Patent fees are subject to annual revision.</small>		<b>Complete if Known</b>	
		Application Number	09/957,443
		Filing Date	September 18, 2001
		First Named Inventor	Jason Zweiback
		Examiner Name	Not Yet Assigned
		Group Art Unit	2874
		Attorney Docket No.	60901/P001CP1/10103155
<input checked="" type="checkbox"/> Application claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$)	

<b>METHOD OF PAYMENT</b> (check all that apply)	
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Deposit Account	<input type="checkbox"/> Money Order
<input type="checkbox"/> Other	<input type="checkbox"/> None
Deposit Account Number	06-2380
Deposit Account Name	Fulbright & Jaworski L.L.P.
The Commissioner is hereby authorized to: (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below	<input checked="" type="checkbox"/> Credit any overpayments
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
to the above-identified deposit account.	

<b>FEE CALCULATION</b>				
<b>1. BASIC FILING FEE</b>				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
101	201	740	370	Utility filing fee
106	206	330	165	Design filing fee
107	207	510	255	Plant filing fee
108	208	740	370	Reissue filing fee
114	214	160	80	Provisional filing fee
<b>SUBTOTAL (1)</b>				(\$)

<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b>							
Total Claims	95	-20	75	x	9.00	=	675.00
Independent Claims	3	-3	0	x	0.00	=	0.00
Multiple Dependent						=	
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description			
103	203	18	9	Claims in excess of 20			
102	202	84	42	Independent claims in excess of 3			
104	204	280	140	Multiple dependent claim, if not paid			
109	209	84	42	** Reissue independent claims over original patent			
110	210	18	9	** Reissue claims in excess of 20 and over original patent			
<b>SUBTOTAL (2)</b>				(\$)			

<b>3. ADDITIONAL FEES</b>				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
105	205	130	65	Surcharge - late filing fee or oath
127	227	50	25	Surcharge - late provisional filing fee or cover sheet
139	239	130	130	Non-English specification
147	247	2,520	2,520	For filing a request for ex parte reexamination
112	212	920*	920*	Requesting publication of SIR prior to Examiner action
113	213	1,840*	1,840*	Requesting publication of SIR after Examiner action
115	215	110	55	Extension for reply within first month
116	216	400	200	Extension for reply within second month
117	217	920	460	Extension for reply within third month
118	218	1,440	720	Extension for reply within fourth month
128	228	1,960	980	Extension for reply within fifth month
119	219	320	160	Notice of Appeal
120	220	320	160	Filing a brief in support of an appeal
121	221	280	140	Request for oral hearing
138	238	1,510	1,510	Petition to institute a public use proceeding
140	240	110	55	Petition to revive - unavoidable
141	241	1,280	640	Petition to revive - unintentional
142	242	1,280	640	Utility issue fee (or reissue)
143	243	460	230	Design issue fee
144	244	620	310	Plant issue fee
122	222	130	130	Petitions to the Commissioner
123	223	50	50	Processing fee under 37 CFR 1.17(q)
126	226	180	180	Submission of Information Disclosure Stmt
581	281	40	40	Recording each patent assignment per property (times number of properties)
146	246	740	370	Filing a submission after final rejection (37 CFR 1.129(a))
149	249	740	370	For each additional invention to be examined (37CFR 1.129(b))
179	279	740	370	Request for Continued Examination (RCE)
169	269	900	900	Request for expedited examination of a design application
Other fee (specify)				
<b>SUBTOTAL (3)</b>				(\$)

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Michael A. Papalas	Registration No. (Attorney/Agent)	40,381
Signature		Telephone	(214) 855-8186
		Date	December 10, 2001

<b>Fee Transmittal</b>	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.	
Dated: December 10, 2001	Signature:  (Joy H. Perigo)

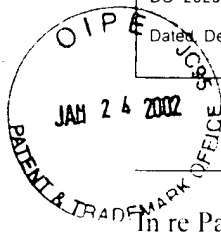
I hereby certify that this correspondence is being deposited with the U S Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below

Dated, December 10, 2001

Signature: 

(Joy H. Pengo)

Docket No.: 60901/P001CP1/10103155  
(PATENT)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Jason Zweiback, et al.

Application No.: 09/957,443

Group Art Unit: 2874

Filed: September 18, 2001

Examiner: Not Yet Assigned

For: LITHOGRAPHIC METHOD FOR  
MANUFACTURING A MASK USED IN THE  
FABRICATION OF A FIBER BRAGG  
GRATING

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Box Missing Parts**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed October 18, 2001, Applicant respectfully submits a Declaration and a Power of Attorney.

Our check in the amount of \$1,110.00 covering the fee set forth in 37 CFR 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2380.

Dated: December 10, 2001

Respectfully submitted,

By 

Michael A. Papalas

Registration No.: 40,381  
FULBRIGHT & JAWORSKI L.L.P.  
2200 Ross Avenue  
Suite 2800  
Dallas, Texas 75201  
(214) 855-8186  
Attorneys for Applicant